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Research Article

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## A Retrospective Analysis of Plate Fixation of Humerus Fracture

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**Introduction:** Humeral shaft fractures represent 1–3% of all the fractures coming in our OPD. These fractures are mostly treated conservatively. Both the plate fixation and nailing techniques are being used but plate fixation has the advantage of lesser rates of malunion and non-union. Our study aimed to evaluate the results of plate fixation in our hospital that employs plate fixation as the golden standard. **Materials and Methods:** This was a retrospective cohort study of all patients treated for a humeral shaft fracture in our hospital (District Hospital Vidisha associated with ABV Medical College, Vidisha between July 2018 and June 2020 with a mean follow-up of 6 months. **Results:** Plate fixation was performed in 40 patients with a humeral shaft fracture. The mean age was 50 (SD 20) years with 60 % (n = 24) being male. There were 55 % (n = 22) fractures in the right and 45 % (n = 18) fractures in the left. None of the patients develops superficial surgical site infection. Complications like Radial Nerve palsy, Deep surgical site infections and Non-union occurred in 2.5 % (n = 1), 2.5 % (n = 1) and 5 % (n = 2) of patients, respectively. The median duration of radiological fracture healing was 18 (range 10–42) weeks. **Conclusion:** Plate fixation for humeral shaft fractures has low risks of complications. The complications can be further minimized with greater surgical expertise.

Keywords: Plate fixation, Humerus shaft fracture, Complication

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# Introduction

Humeral shaft fractures represent 1-3% of all the fractures coming in our OPD [1]. Currently, there are no defined gold standards for the treatment of humeral shaft fractures [2,3]. Most of these fractures are mostly treated conservatively. Although nonoperative treatment has a long and successful history in certain cases [4]. It requires good patient compliance for a successful outcome. Therefore, new surgical treatment methods have been developed to reduce soft tissue damage, improve early training and prevent lona uncomfortable periods of immobilization, which can be associated with nonoperative treatment [3,5,8].

Internal fixation may be indicated in open fractures with nerve injury, fractures associated with vascular injury, fractures extending into neighbouring joints, bilateral fractures and inpatient with multiple injuries. [9, 10]. The internal fixation methods for humerus shaft fractures can be broadly grouped into plating or intramedullary techniques. Plate osteosynthesis remains the gold standard of fixation of humeral shaft fractures compared to other methods [11]. In our centre we mainly used plate fixation for treating humerus fractures, mainly twopart fractures [12]. Nailing was rarely used when plating was not possible due to fracture pattern.

Although plate fixation has the advantage of lesser rates of malunion and non-union, it causes soft tissue disruption, more blood loss and there are higher chances of infection and radial nerve damage with an open plating procedure [13,14]. Union was defined as a radiologically detectable callus bridge or at least three visible cortices on the radiographs [15]. Depending on the type of callus formation, fracture healing was denoted as direct fracture healing (absolute stability: no callus formation) or indirect fracture healing (relative stability: callus formation) [16].

Nonunion was defined as failed fracture healing six months after the initial trauma. It was assessed clinically by the presence of pain and radiographically by the absence of a callus bridge or the persistence of visible fracture lines. Infection was defined by positive clinical signs of an infection, such as local pain, erythema, warmth, swelling and draining wounds in the affected limb leading to revision surgery [17]. Our study aimed to evaluate the results of plate fixation in our hospital that employs plate fixation as the golden standard.

# **Materials And Methods**

This was a retrospective cohort study of all the patients treated for a humeral shaft fracture in our hospital (District Hospital Vidisha associated with ABV Medical College, Vidisha) between July 2018 and June 2020 with a mean follow-up of 6 months.

#### Inclusion criteria:

- Age 18-70 years
- Fracture pattern: Two part , > 20° angulations anteriorly, > 30° varus deformation, > 3 cm dislocation and > 20° rotation.

Both close and open fractures were included in the study

- Failed conservative treatment
- Concomitant vascular injury

#### Exclusion criteria:

- Age : <18 years and > 70 years
- Shaft fractures extending into the metaphysic
- Pathological fractures
- Follow up less than 6 months

Both the lateral and AP view radiographs were obtained and based on X-ray; fracture was classified on basis of AO classification (Fig 1 & 3). Humerus diaphyseal fracture was classified as type A (Simple), type B (wedge) and type C (Complex) [18]. The Gustilo-Anderson classification was used in cases of open fractures [19]. In our study, there were 3 such cases, two types 1 and one types 2 open wounds. Patients were operated under regional/general anesthesia in the supine or lateral position. All patients received a single dose of ceftriaxone 1 gram injection half an hour before surgery as antibiotic prophylaxis.

Tourniquet was not used during the surgery. 4.5 mm Locking Compression Plate (LCP) was used to fix the fracture (Fig 2& 4). Both the anterolateral, posterior, and minimally invasive approaches were used depending on the fracture pattern and soft tissue conditions. Postoperatively, the patient stayed in the hospital till the first check dressing which was done on the second postoperative day (2nd POD). X-ray was taken on the first operative day (1st POD) and the patient was discharged on prophylactic oral antibiotics for 10 days.

Follow up was done on 8th POD (second check dressing),12th POD (stitch removal), one month (2nd check x-ray), 3 months (3rd check x-ray) and

6 months (4th check x-ray). Clinical evaluation in form of a range of movement of shoulder and elbow joint was also done in every follow-up. In each follow up under the supervision of the operating surgeon and physiotherapist, early non-weightbearing range of motion exercises was taught to the patient to do at home. Superficial surgical site infections were classified as either superficial or deep according to the definition of the Centres for Disease Control and Prevention [20]. Non-union was defined as the absence of bone healing after 6 months. Patients with impairment of shoulder and elbow function were classified as having either no impairment of range of motion (full recovery) or suffering from impairment in a range of motion regardless of severity (shoulder/elbow impairment).

# Results

The median hospital stay was 6 days (range 2–18). Patients were followed up for 6 months. Patients with follow up less than 6 months were not included in the study

Plate fixation (4.5mm Locking Compression Plate, LCP) was performed in 40 patients with a humeral shaft fracture (Fig 2 & 4). The mean age was 50 (SD 20) years with 60 % (n = 24) being male. There were 55 % (n = 22) fractures in the right and 45 % (n = 18) fractures in the left. Out of 40 patients, 21 patients (52.5%) had an AO/OTA type-A fracture, 13 patients (32.5%) had type-B and 6 patients (15 %) had type-C fracture. Anterolateral approach (in supine position) was done in 24 patients (60 %) of cases and posterior approach (in lateral position) was done in the remaining 16 (40%) cases. All fractures were fixed by a 4.5 mm narrow LCP plate (Fig 2 & 4). None of the patients superficial surgical site develops infection. Complications like radial nerve palsy, deep surgical site infections and non-union occurred in 2.5 % (n = 1), 2.5 % (n = 1) and 5 % (n = 2) of patients respectively.

In one patient who developed radial nerve palsy postoperatively, the palsy recovered spontaneously in 4 months. In our study, we found no radial nerve palsy before surgery. In one case of deep surgical infection, culture sensitivity of wound was done and accordingly intra-venous antibiotic injections were given for 5 days postoperatively followed by oral antibiotics for next 9 days and the patient stayed in the hospital during this period for dressings. The patient was discharged when the wound healed and stitch removal was done. Both the cases of non-union were reoperated (six months after primary surgery). Cancellous autologous iliac bone grafts were used along with a longer LCP plate in both the revision surgeries. The fracture healed in both cases after the second surgery (mean period was 18 weeks after second surgery). Radiological fracture healing and patients' ability to fully weight bear was seen after an average of 18 weeks. Full recovery of range of movement of the shoulder joint was found in 34 (85%) cases and in the case of the elbow joint, full recovery was seen in 36 (90%) cases.

Table	1:	Distribution	of	patient	based	on
Gende	r					

Sex	Cases
Male	24
Female	16
Total	40

Table 2: Distribution of patient based on sideinvolved:

Side	Total
Right	22
Left	18
Total	40

Table 3: Distribution of patient based on AOclassification:

AO classification	Cases
Туре А	21
Туре В	13
Type C	06
Total	40

# Table 4: Distribution of patient based onetiology:

Etiology	Cases
RTA (road trafiic accident)	16
Assault	8
Fall of a heavy object	5
Other causes	11
Total	40





#### Fig 1:Pre-op X-ray



Fig 2: Post-op X-ray

#### Case 2:



Fig 3: Pre-op X-ray



Fig 4: Post-op X-ray

## Discussion

Most of the humerus fractures are treated conservatively. Non-operative treatment requires good patient compliance for a successful outcome. It is generally accepted that conservative management is best for isolated closed fractures of the shaft of the humerus [9,21-24].

In a study by Vichare (1972) he found that standard conservative methods of treatment in patients with multiple injuries can lead to a high incidence of malunion and non-union and so he devised a traction system to manage such fractures with multiple injuries [25]. However, an important aim in the management of these patients is to be able to sit them upright with pain-free extremities [26]. Failing this, prolonged recumbency may lead to considerable morbidity.

Early mobilisation of the limb helps to prevent the "fracture disease" [27]. In two recent reviews by Clement and Gosler et al., they demonstrated a deficiency in the current literature of level one evidence for the treatment of humeral shaft fractures (3,5). In a study by Papasoulis et al., they found that the union rate ranged from 77 to 100% and good functional results were achieved after the nonsurgical treatment of humeral shaft fractures(28,29).

Also in a study by Matsunaga et al., comparing functional bracing and bridge plating for humeral shaft fractures, it was found that nonsurgical treatment was associated with a significantly higher rate of nonunion and angular displacement (anteroposterior) than bridge plating (8). Xuqi Hu et al. presented the results of a systematic review and meta-analysis of eight studies, including four randomized controlled trials (RCTs), two prospective cohort trials and two retrospective cohort trials [30].

Of these eight studies, four compared ORIF to MIPO, and none of the four studies showed a significant difference in terms of the postoperative radial injury incidence, union rate or functional outcome between the two groups [31-34]. ORIF offers the opportunity for the exact reduction and anatomical fixation of the fracture and can enable primary or secondary fracture healing depending on the type of osteosynthesis and fracture pattern (15).

Boschi et al. in their study find out the outcomes of the treatment of 280 humeral shaft fractures with ORIF in terms of the approach and plate location. The overall healing rate was 98.2%, without a significant difference in the approach or plate location [35]. Humeral shaft fractures are commonly associated with lesions of the radial nerve. The anatomical proximity and association of the bone and nerves in the humeral shaft explain the incidence between 8 % and 12 % [36,37]. The best treatment for humeral shaft fractures complicated with radial nerve injury is highly controversial [38,39]. While concomitant nerve injury has been used as an argument for the immediate surgical treatment of fractures in the past (using a posterior approach and visualizing the radial nerve) (40), recent investigations have shown no significant difference in radial nerve palsy recovery between initial operative and nonoperative management strategies [36,41].

Most radial nerve injuries in cases of humeral shaft fracture are caused by traction or compression of the nerve, which is known as neuropraxia. Much fewer nerve injuries are identified as discontinuity of the nerve (axonotmesis or neurotmesis) [42]. Neuropraxia is a reversible injury, resulting in spontaneous reversibility in a large portion of traumatic radial nerve palsy cases (36). Plate fixation is the golden standard in our hospital.

Our study included only those cases of humerus fractures that were managed surgically by plate fixation It is a well-known fact that a higher level of training generally yields better results [43,44)]. This greater expertise is likely reflected in the results of this study. With greater experience, complication rates can be minimized in patients undergoing plate fixation for humeral shaft fractures. The objections which have been made to humeral shaft plating are that it may lead to non-union [45]. or to radial nerve injury [21,46]. or to infection, or that the fixation may fail with refracture through the screw holes (9).

Although no long-term complications occurred in our study, one limitation is the lesser duration of followup time (six months). Due to limited sample size and lesser duration of follow-up and therefore studies with big sample size and longer duration of follow up will be required to prove the advantage of plating in humerus fractures We only calculated the proportion of patients with full recovery of the shoulder in 34 cases (85%) and elbow function in 36 cases (90%) following surgery.

These proportions are comparable to those found in similar studies [47,48,49]. Nevertheless, functional results are equally important to take into consideration when determining the optimal treatment for patients with humeral shaft fractures. There were several limitations in our study that should be considered when interpreting the results. It was a retrospective study and will have certain amounts of selection bias.

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Also, the ability to fully weight bear is a subjective outcome that ideally should be measured on a day to day basis using but it was determined at fixed intervals when the patient was called for follow up. Consequently, this outcome is also determined by the length of the intervals. This applies to a lesser extent for radiological fracture union as changes in radiographs require several weeks to become detectable.

# Conclusion

Plate fixation for humeral shaft fractures has low risks of complications. The complications can be further minimized with greater surgical expertise.

# What does this study add to present knowledge?

Plate fixation for humeral shaft fractures has low risks of complications.

# Author contribution

SKK, SU: conceptual framework, data collection.

**SU, SS, AV:** a review of literature, methodology review.

**SKK, SU:** manuscript writing and editing.

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